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Admitted in NY only ** Passed the Patent Bar Examination

CRISTIN E. HOWLEY, Ph.D.

September 13, 2001

Commissioner for Patents **BOX MISSING PARTS** Washington, D.C. 20231

Re:

U.S. Patent Application Serial No.: 09/851,595

Title: NOVEL G-PROTEIN COUPLED RECEPTORS AND USES THEREFOR

Filed: May 8, 2001 Inventor: Wei Gu

Our Reference No.: MNI-080CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice to File Missing Parts (2 pgs., in duplicate);
- 2. A copy of Formalities Letter (2 pgs);
- 3. An executed Declaration, Petition, and Power of Attorney document (6 pgs.);
- 4. Sixty-Two (62) sheets of Formal Drawings (Figures 1A-16D);
- 5. Preliminary Amendment (13 pages);
- 6. Information Disclosure Statement;
- 7. PTO Form 1449 (5 pgs.);
- 8. A check for \$876.00 (Filing Fee, Surcharge Fee, and Extra Claims Fee);
- 9. Statement of Limited Recognition Under 37 CFR § 10.9(b) for Maria C. Laccotripe; and
- 10. Return Acknowledgment Postcard.

Please charge any underpayments or credit any overpayments to our Deposit Account No. 12-0080.

The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231 on:

September 13, 2001

Date

Maria C. Laccotripe, Ph.D., J.D. Limited Recognition

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Maria C. Laccotripe, Ph.D., J.D.

Limited Recognition

Under 37 CFR § 10.9(b)

Attorney for Applicant

2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Wei Gu

Serial No.: 09/851,595

Filed: May 8, 2001

For: Novel G-Protein Coupled Receptors and Uses

Therefor

Attorney Docket No.: MNI-080CP

Group Art Unit: 1653

Examiner:

Commissioner for Patents **BOX MISSING PARTS** Washington, D.C. 20231

Certificate of First Class Mailing

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September 13, 2001

Date of Signature and of Mail Deposit

By:

Maria C. Laccotripe, Ph.D., J.D.

Limited Recognition Under 37 CFR § 10.9(b)

Attorney for Applicant

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Application mailed from the U.S. Patent and Trademark Office on July 13, 2001, Applicant's attorney submits herewith an executed Declaration, Petition and Power of Attorney from Wei Gu; a check in the amount of **<u>\$876.00</u>** is enclosed to cover the total amount owed by Applicant including \$130.00 surcharge, \$710.00 basic filing fee, and \$36.00 additional claim fee based on large entity status. A copy of the Formalities Letter is also enclosed. Finally, submitted herewith are 62 sheets (Figures 1A-

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Maria C. Laccotripe, Ph.D., J.D.

Limited Recognition Under 37 CFR § 10.9(b)

Attorney for Applicant

28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: September 13, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/851,595

Wei Gu

MNI-080CP

000959 LAHIVE & COCKFIELD 28 STATE STREET

BOSTON, MA 02109

25/08/2001

CONFIRMATION NO. 2613

FORMALITIES LETTER

OC000000006295846

Date Mailed: 07/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

FC:101

CS/19/2001 BABRAHA1 00000015 09851595

710.00 OP 36.00 OP 130.00 OP Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$196.
 - \$36 for 2 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CED 16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this later.
- The balance due by applicant is \$ 1036.

The application is informal since it does not comply with the regulations for the reason(s)

The required item(s) identified below must be timely submitted to avoid abandonment:

Substitute drawings in compliance with 37 CFR 1.84 because:

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JUL 1 6 2001

RETRIEVED: 7/26 15B FORWARDED % KR

 drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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PART 2 - COPY TO BE RETURNED WITH RESPONSE